Disclosure

INFORMATION TO CLIENTS IN RESPECT OF THE FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT ("FAIS")

Foundation Family Wealth (Pty) Ltd is an authorised financial services provider as defined in the Financial Advisory and Intermediary Services Act 2002 (FAIS) and we undertake to abide by the requirements as set out in this Act.

We will always maintain suitable and sufficient professional indemnity or fidelity insurance.

We have no conflicts of interest and will disclose all necessary information if a conflict arises.

We commit ourselves to swift, effective and fair resolution of your complaints. Address your complaints to Sunél Veldtman or Elke Zeki at sunel@foundationsa.com or elke@foundationsa.com. We will acknowledge all complaints in writing.

All policies are available on request from our offices.

Should any complaint not be resolved to your satisfaction, it can be submitted in writing, within 6 months, to the FAIS Ombud for Financial Service Providers. Their details:

FAIS OMBUD DETAILS

Address: Sussex Office Park, Ground Floor, Block B, Cnr Lynnwood Road & Sussex Ave, Lynnwood, 0081
Telephone: +27 12 762 5000
Facsimile: +27 86 764 1422
E-mail: info@faisombud.co.za
Website: www.faisombud.co.za

LEGAL AND STATUTORY INFORMATION

Foundation Family Wealth (Pty) Ltd
Reg No: M2011/009772/07
Address: Oaktree Park, 10 Eastwood Road, Dunkeld, 2196
Telephone: +27 11 268 6484
FSP license number: 43439
Compliance officer: Nelda de Jager, Moonstone (Pty) Ltd
Compliance officer email: ndejager@moonstoneinfo.com
Compliance officer telephone: 082 884 1828
Financial Services Board (FSB) toll-free helpline: 0800 110 443

The following individuals are registered at the FSB as authorised representatives, and may act on behalf of Foundation Family Wealth:

Sunél Veldtman, Elke Zeki, Michelle le Roux & Thiart van der Merwe

Foundation Family Wealth does not hold more than 10% of the relevant product supplier's shares or haves any equivalent substantial financial interest in the product suppliers we use.

Foundation Family Wealth does not earn any commissions, and therefore we don't receive more than 30% of our remuneration from one product supplier.

We collect personal information from you, in order to provide advice, products and services to you. Personal information means any information that may be used to identify an individual, including, but not limited to, a first and last name, e-mail address, a home, postal or other physical address, other contact information, title, birth date, gender, occupation, investments, assets, liabilities, insurance and other information needed to provide a service you requested.

We may send your personal information to other companies or people under any of the following circumstances:

- We have your consent to share the information.
- We need to share your information to provide advice, reporting, analysis, products or services you have requested
- We need to send the information to companies, who will provide a product or service to you
- We are required to do so by law

In addition, your information may be hosted on servers managed by a third-party service provider.

From time to time we will perform analysis on our databases. The results of this analysis may be released to third parties, but only in aggregate form and will contain no personal information at all.

By signing this disclosure agreement, you are providing your consent to for us to store your information on servers managed by third parties and to share your information in order for us to provide advice, reporting, analysis, products and services you have requested.

SERVICES

Foundation Family Wealth is authorised to provide a range of advice and discretionary and intermediary services to its clients, including:

- Products
- Long Term B1
- Long Term B2
- Long Term C
- Retail Pension Benefits/Retirement Annuities
- Shares
- Money Market Instruments
- Debentures & Securitised Debt
- Warrants, Certificates and Other instruments
- Bonds
- Derivative Instruments
- Collective Investment Schemes
- Long & short Term Deposits
- Structured Deposits
- Hedge Funds

SERVICE PROVIDERS

Foundation Family Wealth has agreements in place with the following service providers

- FNB Securities/Ashburton
- Glacier & Glacier International
- Momentum
- Prudential
- Stanlib
- Coronation
- Sasfin Wealth
- Investec
- Ninety One
- Allan Gray
- Capital International
- Fairtree Capital
- Boutique Collective Investments
- Currency partners
- Portfoliometrix
- Morningstar